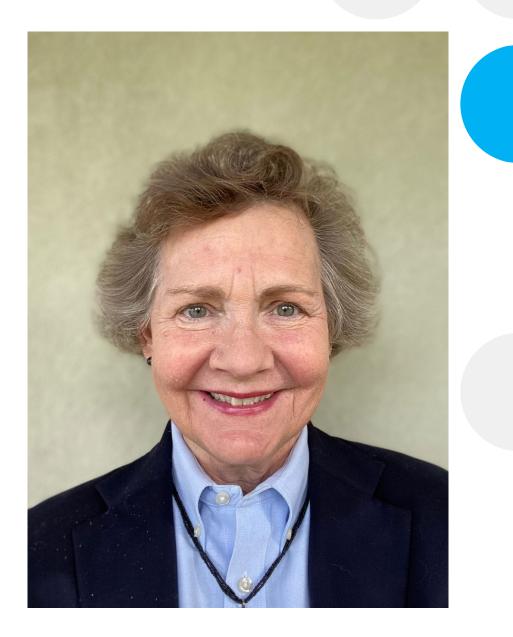


How Risk Communication Can Shape Our Practice of Ethics

April 13, 2023

Celia A. Booth, CIH, CSP, ARM CAB Enterprises, LLC

- <u>Celia.Booth@Yahoo.com</u>
- 703-981-2161



Today's Plan

- 1. Review Dr. Peter Sandman's Risk Communication principles, including his four paradigms of Risk Communication.
- 2. Review the BGC Code of Ethics for Industrial Hygiene and APHA Code of Ethics for Public Health.
- 3. Describe Sandman's "Games Risk Communicators Play."
- Assess how we/public health have done applying Sandman's Risk Communication principles during the COVID-19 pandemic.
- 5. Case Studies in Risk Communication & Ethics.
- 6. Summarize what we've learned.

Polling Question

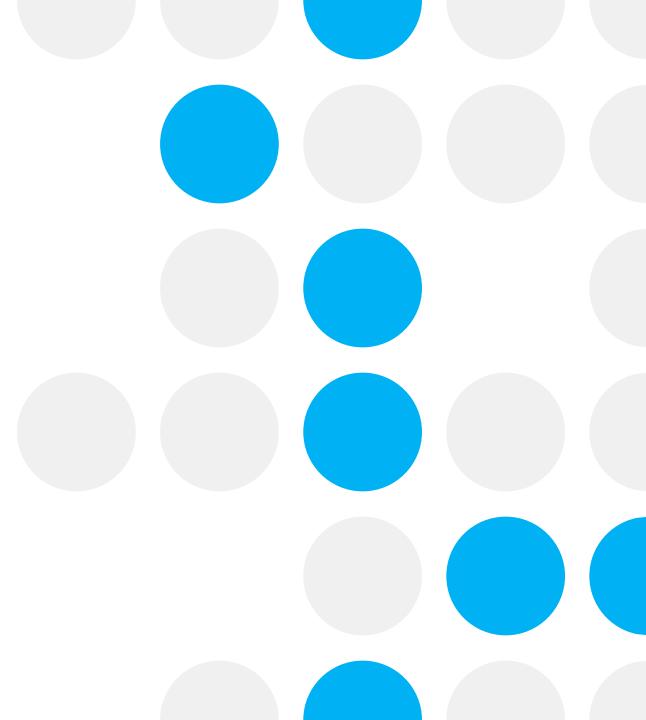
Have you heard Dr. Peter Sandman's risk communication framework?

Yes/No

Sandman's framework

R = **H** + **O**

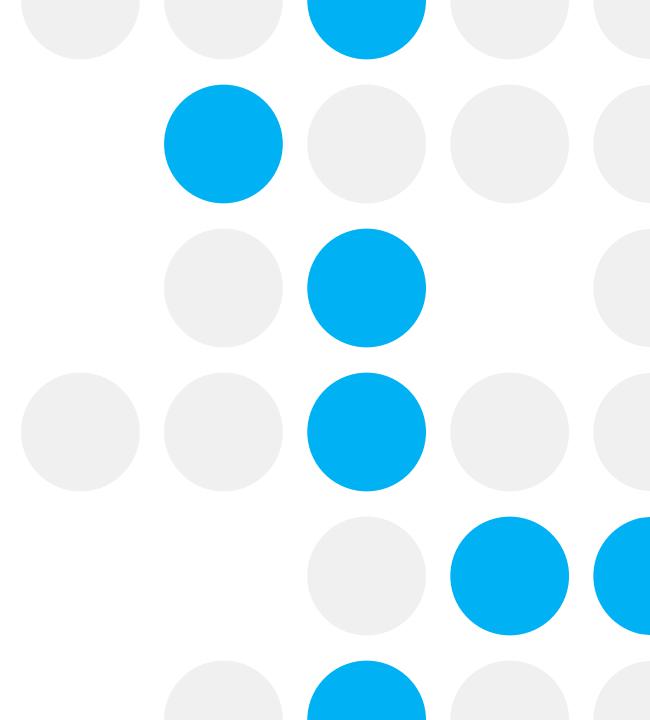
R = Risk H = Hazard O = Outrage



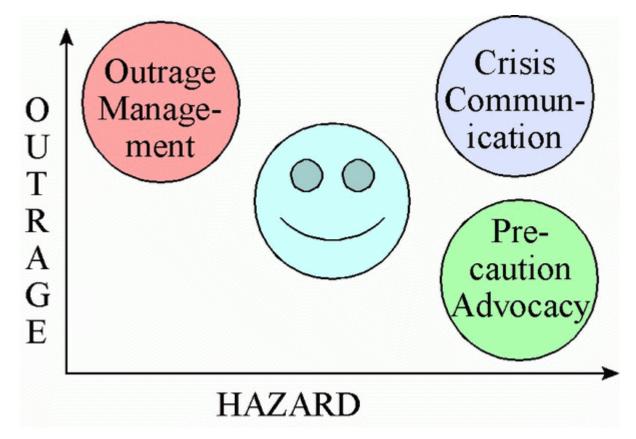
Sandman's framework

R = f(H,O)

R = Risk H = Hazard O = Outrage



Precaution Advocacy on Sandman's map



Source: <u>www.petersandman.com</u>; used with permission

Sandman's Paradigms of Risk Communication

1. Sweet Spot – low outrage, low hazard; "So what?"

2. Precaution Advocacy – low outrage, high hazard; "Watch out!"

3. Outrage Management – high outrage, low hazard; "Calm down."

4. Crisis Management – high outrage, high hazard; "We'll get through this together."





What are the Components of Outrage?

•What is "Safe"?

•What is "Risky"?

12 Principal Components of Outrage

Safe

- 1. Voluntary
- 2. Natural
- 3. Familiar
- 4. Not memorable
- 5. Not dreaded
- 6. Chronic

Risky

- 1. Coerced
- 2. Industrial
- 3. Exotic
- 4. Memorable
- 5. Dreaded
- 6. Catastrophic

Source: Twelve Principal Outrage Components, Peter M. Sandman. 1991

12 Principal Components of Outrage (cont'd)

Safe

- 7. Knowable
- 8. Individually controlled
 9. Fair
- 10.Morally irrelevant
- 11. Trustworthy sources
- 12.Responsive process

Risky

- 7. Unknowable
- 8. Controlled by others
- 9. Unfair
- 10.Morally relevant
- 11. Untrustworthy sources
- 12. Unresponsive process

Source: Twelve Principal Outrage Components, Peter M. Sandman. 1991

Polling Question Have you had to deal with one or more of these situations?

- 1. Sweet Spot
- 2. Precaution Advocacy
- 3. Outrage Management
- 4. Crisis Management

BGC Code of Ethics Quiz 1. Certificants have the obligation to: (answer all that apply)

- 1. Maintain high standards of integrity & professional conduct
- 2. Accept responsibility for their actions
- 3. Continually seek to maintain and/or enhance their professional capabilities
- 4. Practice with fairness & honesty
- 5. All of the above.

BGC Code of Ethics Quiz

2. Certificants must comply with organizational rules, policies & legal requirements, including: (answer all that apply)

- 1. Comply with laws, regulations, policies & ethical standards governing professional practice.
- 2. Provide accurate & truthful representations concerning all certification & recertification information.
- 3. Report apparent violations of the ethics code by certificants.
- 4. Refrain from public behavior that is in violation of professional, ethical, or legal standards.
- 5. All of the above.

BGC Code of Ethics Quiz

3. Under responsibilities to clients, employers, employees, and the public, with respect to education, experience, competency, and performance of professional services, a certificant must: (answer all that apply)

- 1. Communicate clearly, to clients and/or employers, the potential consequences if professional decisions or judgments are overruled or disregarded.
- 2. Maintain & respect the confidentiality of sensitive information obtained in the course of professional activities unless the failure to release such information would likely result in death or serious physical harm to employees and/or the public.
- 3. Recognize the limitations of one's professional ability & provide services only when qualified.
- 4. All of the above.

Polling Question

4. To which of Peter Sandman's risk communication paradigms do these responsibilities in our Code of Ethics apply? (answer all that apply)

- 1. Sweet Spot
- 2. Precaution Advocacy
- 3. Outrage Management
- 4. Crisis Management

BGC Code of Ethics Quiz

5. With respect to conflict of interest & appearance of impropriety, under responsibilities to clients, employers, employees, & the public, a certificant must: (answer all that apply)

- 1. Disclose, to clients and/or employers, significant circumstances that could be construed as a conflict of interest or an appearance of impropriety.
- 2. Avoid conduct that could cause a conflict of interest with a client, employer, employee or the public.
- 3. Assure that a conflict of interest does not compromise legitimate interests of a client, employer, employee or the public and does not influence or interfere with professional judgments.
- 4. Refrain from offering or accepting significant payments, gifts, or other forms of compensation or benefits in order to secure work or that are intended to influence professional judgment.
- 5. All of the above.

BGC Code of Ethics Quiz

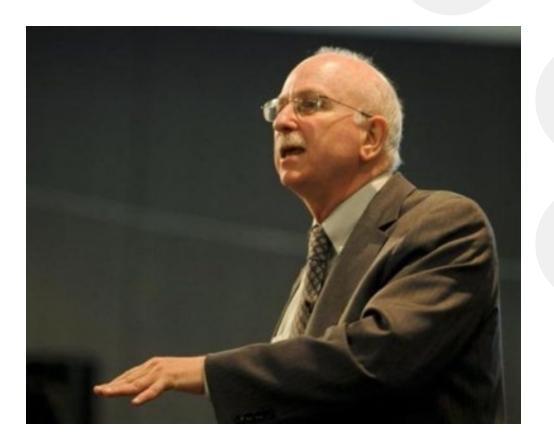
6. With respect to public health, safety and the natural environment, a certificant must follow appropriate health & safety procedures, in the course of performing professional duties, to protect clients, employers, employees, and the public from conditions where injury & damage are reasonably foreseeable.

True / False

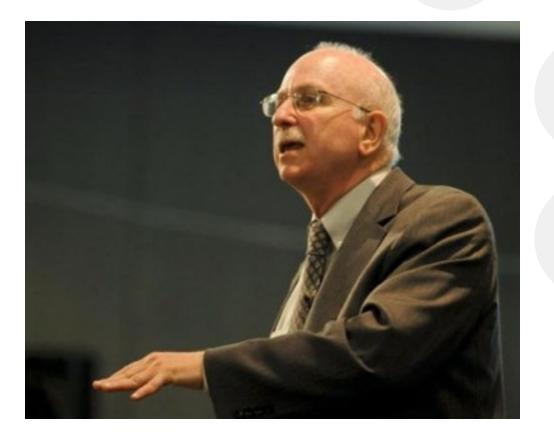
APHA Code of Ethics Core Ethical Values & Related Obligations

- 1. Professionalism & Trust
- 2. Health & Safety
- 3. Health Justice & Equity
- 4. Interdependence & Solidarity
- 5. Human Rights & Civil Liberties
- 6. Inclusivity & Engagement

- 1. Follow the Leader
- 2. Echo
- 3. Donkey
- 4. Seesaw



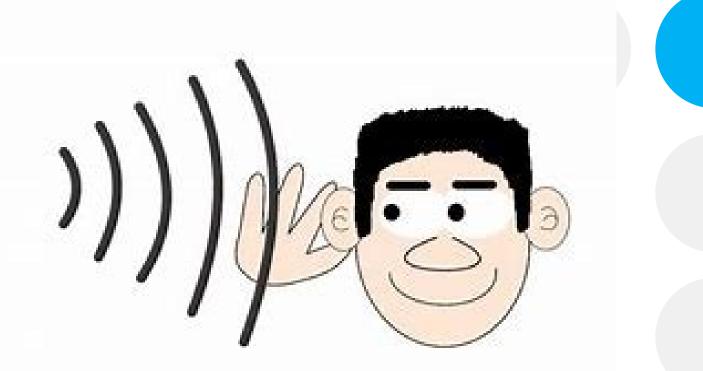
- 1. Believe nothing on topic
- 2. Believe X already
- 3. Believe Y
- 4. Believe X & Y



- 1. Follow the Leader
- Talking to people with no opinion.



- 2. Echo
- Talking to people who agree.



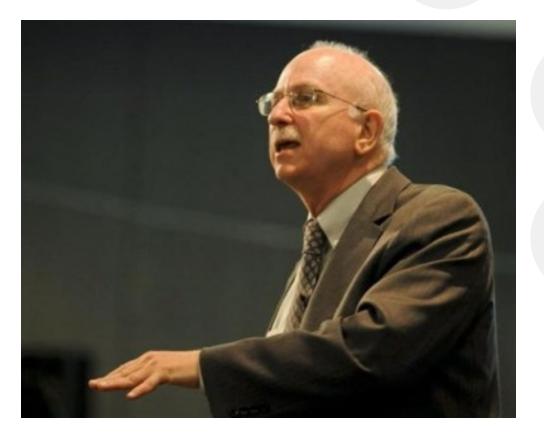
- 3. Donkey
- Talking to people who disagree.



- 4. Seesaw
- Talking to people who are ambivalent.



Know what game you're playing based on what you know about the stakeholders!



Sandman: 8 Things US Pandemic Communicators Still Get Wrong

- 1. Overconfidence and failure to proclaim uncertainty
- 2. Failure to do anticipatory guidance
- 3. Fake consensus
- 4. Prioritizing health over other values
- 5. Prioritizing health over truth
- 6. Failure to own your mistakes
- 7. Failure to address misinformation credibly and empathetically
- 8. Politicization



APHA Code of Ethics Core Ethical Values & Related Obligations

- 1. Professionalism & Trust
- 2. Health & Safety
- 3. Health Justice & Equity
- 4. Interdependence & Solidarity
- 5. Human Rights & Civil Liberties
- 6. Inclusivity & Engagement

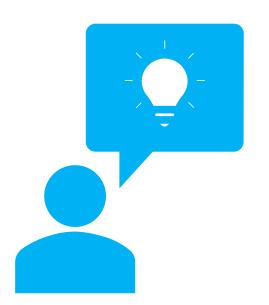
Sandman: 8 Things US Pandemic Communicators Still Get Wrong

1. Overconfidence and failure to proclaim uncertainty

- 2. Failure to do anticipatory guidance
- 3. Fake consensus
- 4. Prioritizing health over other values
- 5. Prioritizing health over truth
- 6. Failure to own your mistakes
- 7. Failure to address misinformation credibly and empathetically
- 8. Politicization

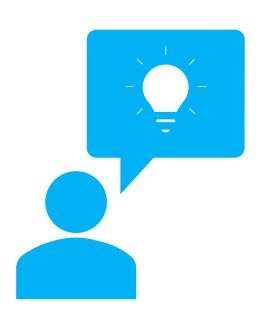


Polling Question: Which Risk Communication Game could be used to assist with the mistake of overconfidence & failure to proclaim uncertainty? (Select one answer)



- 1. Follow the Leader
- 2. Echo
- 3. Donkey
- 4. Seesaw

Polling Question: Which APHA COE Value was overlooked in the mistake of overconfidence & failure to proclaim uncertainty? (Select all that apply)



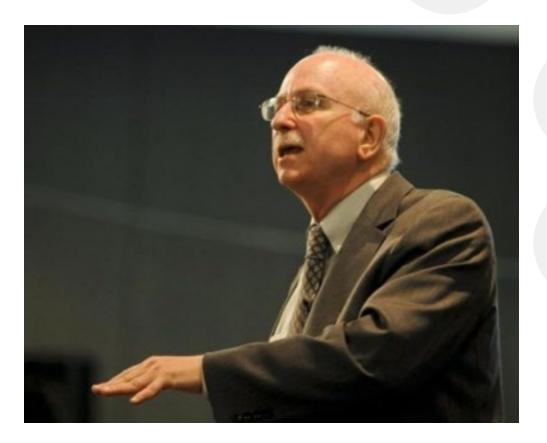
Professionalism & Trust
 Health & Safety; Health Justice & Equity
 Interdependence & Solidarity
 Human Rights & Civil Liberties
 Inclusivity & Engagement

Sandman: 8 Things US Pandemic Communicators Still Get Wrong

1. Overconfidence and failure to proclaim uncertainty

2. Failure to do anticipatory guidance

- 3. Fake consensus
- 4. Prioritizing health over other values
- 5. Prioritizing health over truth
- 6. Failure to own your mistakes
- 7. Failure to address misinformation credibly and empathetically
- 8. Politicization

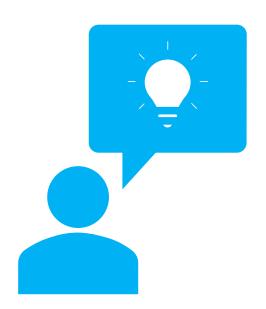


Polling Question: Which Risk Communication Game could be used to assist with the mistake of failure to do anticipatory guidance? (Select one answer)



- 1. Follow the Leader
- 2. Echo
- 3. Donkey
- 4. Seesaw

Polling Question: Which APHA COE Value was overlooked in the mistake of failure to do anticipatory guidance? (Select all that apply)



Professionalism & Trust
 Health & Safety; Health Justice & Equity
 Interdependence & Solidarity
 Human Rights & Civil Liberties
 Inclusivity & Engagement

Sandman: 8 Things US Pandemic Communicators Still Get Wrong

- 1. Overconfidence and failure to proclaim uncertainty
- 2. Failure to do anticipatory guidance

3. Fake consensus

- 4. Prioritizing health over other values
- 5. Prioritizing health over truth
- 6. Failure to own your mistakes
- 7. Failure to address misinformation credibly and empathetically
- 8. Politicization

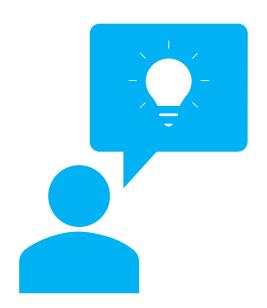


Polling Question: Which Risk Communication Game could be used to assist with the mistake of fake consensus? (Select one answer)



- 1. Follow the Leader
- 2. Echo
- 3. Donkey
- 4. Seesaw

Polling Question: Which APHA COE Value was overlooked in the mistake of fake consensus? (Select all that apply)

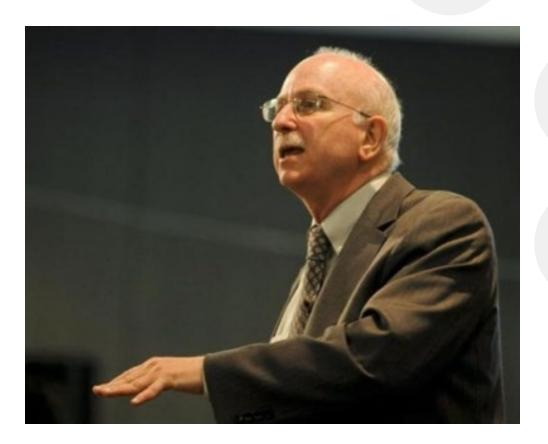


Sandman: 8 Things US Pandemic Communicators Still Get Wrong

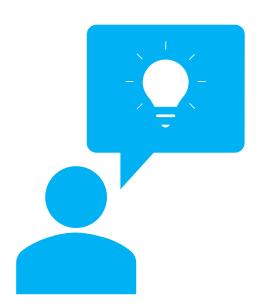
- 1. Overconfidence and failure to proclaim uncertainty
- 2. Failure to do anticipatory guidance
- 3. Fake consensus

4. Prioritizing health over other values

- 5. Prioritizing health over truth
- 6. Failure to own your mistakes
- 7. Failure to address misinformation credibly and empathetically
- 8. Politicization

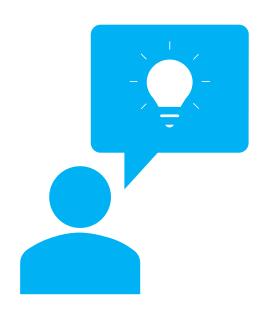


Polling Question: Which Risk Communication Game could be used to assist with the mistake of prioritizing health over other values? (Select one answer)



- 1. Follow the Leader
- 2. Echo
- 3. Donkey
- 4. Seesaw

Polling Question: Which APHA COE Value was overlooked in the mistake of prioritizing health over other values? (Select all that apply)

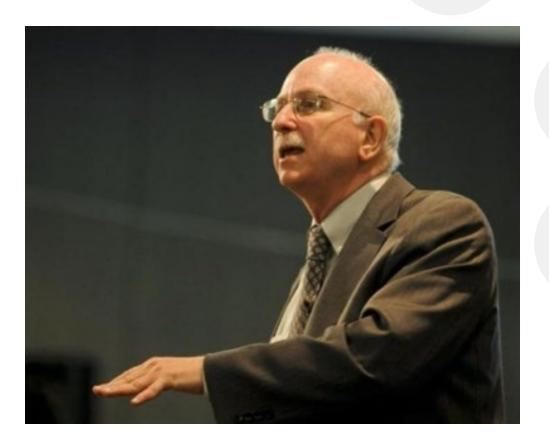


Sandman: 8 Things US Pandemic Communicators Still Get Wrong

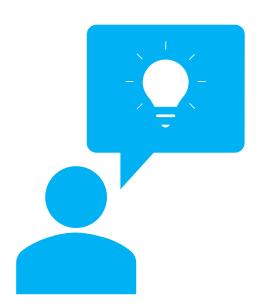
- 1. Overconfidence and failure to proclaim uncertainty
- 2. Failure to do anticipatory guidance
- 3. Fake consensus
- 4. Prioritizing health over other values

5. Prioritizing health over truth

- 6. Failure to own your mistakes
- 7. Failure to address misinformation credibly and empathetically
- 8. Politicization



Polling Question: Which Risk Communication Game could be used to assist with the mistake of prioritizing health over truth? (Select one answer)



- 1. Follow the Leader
- 2. Echo
- 3. Donkey
- 4. Seesaw

Polling Question: Which APHA COE Value was overlooked in the mistake of prioritizing health over truth? (Select all that apply)



Sandman: 8 Things US Pandemic Communicators Still Get Wrong

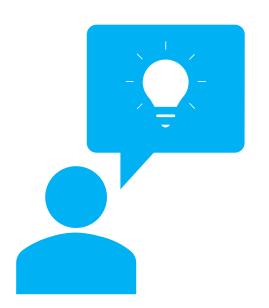
- 1. Overconfidence and failure to proclaim uncertainty
- 2. Failure to do anticipatory guidance
- 3. Fake consensus
- 4. Prioritizing health over other values
- 5. Prioritizing health over truth

6. Failure to own your mistakes

- 7. Failure to address misinformation credibly and empathetically
- 8. Politicization

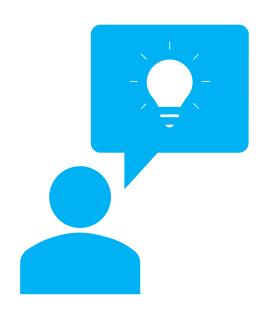


Polling Question: Which Risk Communication Game could be used to assist with the mistake of failure to own your mistakes? (Select one answer)



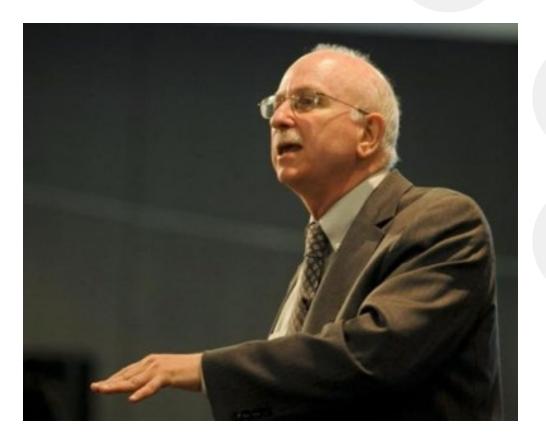
- 1. Follow the Leader
- 2. Echo
- 3. Donkey
- 4. Seesaw

Polling Question: Which APHA COE Value was overlooked in the mistake of failure to own your mistakes? (Select all that apply)



Sandman: 8 Things US Pandemic Communicators Still Get Wrong

- 1. Overconfidence and failure to proclaim uncertainty
- 2. Failure to do anticipatory guidance
- 3. Fake consensus
- 4. Prioritizing health over other values
- 5. Prioritizing health over truth
- 6. Failure to own your mistakes
- 7. Failure to address misinformation credibly and empathetically
- 8. Politicization

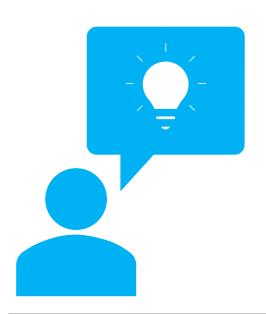


Polling Question: Which Risk Communication Game could be used to assist with the mistake of failure to address misinformation credibly & empathetically? (Select one answer)



- 1. Follow the Leader
- 2. Echo
- 3. Donkey
- 4. Seesaw

Polling Question: Which APHA COE Value was overlooked in the mistake of failure to address misinformation credibly & empathetically? (Select all that apply)



Sandman: 8 Things US Pandemic Communicators Still Get Wrong

- 1. Overconfidence and failure to proclaim uncertainty
- 2. Failure to do anticipatory guidance
- 3. Fake consensus
- 4. Prioritizing health over other values
- 5. Prioritizing health over truth
- 6. Failure to own your mistakes
- 7. Failure to address misinformation credibly and empathetically
- 8. Politicization

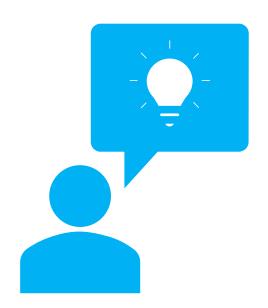


Polling Question: Which Risk Communication Game could be used to assist with the mistake of politicization? (Select one answer)



- 1. Follow the Leader
- 2. Echo
- 3. Donkey
- 4. Seesaw

Polling Question: Which APHA COE Value was overlooked in the mistake of politicization? (Select all that apply)



Optional Homework

- 1. Which of the 8 COVID risk communication mistakes do you think you may have made? Which of the 8 has your organization made?
- 2. To what extent do you think you and your organization can correct these mistakes? Are there some you can improve and others honestly you think you can't?
- 3. Given everything on your plate, how much of a priority do you think improving these mistakes should have? Will it help redress eroding trust? How much of a priority is that?

Bonus: What other COVID risk communication mistakes are public health professionals making that strike you as important to improve?

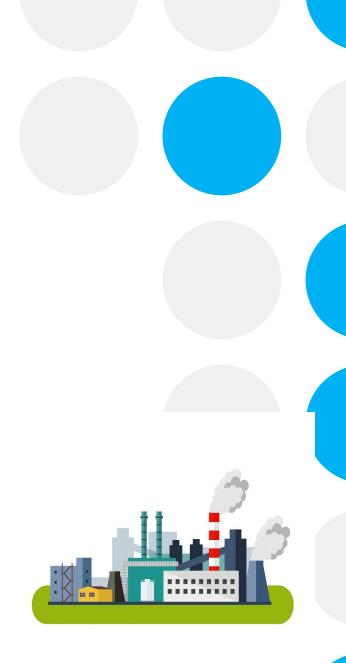
Case Study: New Microchip Plant Siting

- Company representatives
- Community members
- Local government
- Regulators
- Environmental advocacy groups
- Press



Risk Communication Planning

- What are the questions that you wish to have answered and/or expect to answer regarding this new plant?
- Which of Sandman's Components of Outrage do you anticipate needing to respond to and/or pointing out in your presentation?
- How will you prepare to respond to ethical challenges?
- What alliances would you wish to build of the entities participating? Do you have other entities that you would bring into the risk communication plan?
- Bonus: Describe which of Sandman's Games Risk
 Communicators Play might apply to your group.



Risk Communication Planning: Group Reports

- Company representatives
- Community members
- Local government
- Regulators
- Environmental advocacy groups
- Press



Case Study: Train Derailment

- Company representatives
- Community members
- Local government
- Regulators
- Environmental advocacy groups
- Press



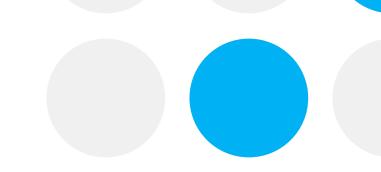
Crisis Communication

- What are the questions that you wish to have answered and/or expect to answer regarding this crisis?
- Which of Sandman's Components of Outrage do you anticipate needing to respond to and/or pointing out in your presentation?
- How will you prepare to respond to ethical challenges?
- What alliances would you wish to build of the entities participating? Do you have other entities that you would bring into the crisis communication plan?
- Bonus: Describe which of Sandman's Games Risk
 Communicators Play might apply to your group.



Crisis Communication Planning: Group Reports

- Company representatives
- Community members
- Local government
- Regulators
- Environmental advocacy groups
- Press





So What?

Recap

- 1. Reviewed Dr. Peter Sandman's Risk Communication principles, including his four paradigms of Risk Communication.
- 2. Reviewed the BGC Code of Ethics for Industrial Hygiene and APHA Code of Ethics for Public Health
- 3. Described Sandman's "Games Risk Communicators Play."
- 4. Assessed how we/public health have done applying Sandman's Risk Communication principles during the COVID-19 pandemic.
- **5.** Case Studies in Risk Communication & Ethics.
- 6. Summarized what we've learned.

BGC Code of Ethics: Responsibilities

"Communicating clearly, to clients and/or employers, the potential consequences if professional decisions or judgments are overruled or disregarded."



Questions/ Comments

Thank you!



Bibliography

• Dr. Peter Sandman's web site: <u>http://www.petersandman.com</u>

 Games Risk Communicators Play: Follow-the-Leader, Echo, Donkey, and Seesaw by Peter M. Sandman, December 2005, Accessed 4/9/2023 at: <u>Games Risk Communicators Play:</u> Follow-the-Leader, Echo, Donkey, and Seesaw (Peter Sandman column)

 COMMENTARY: 8 things US pandemic communicators still get wrong by Peter M. Sandman, December 2021, Accessed 2/9/2022 at: <u>COMMENTARY: 8 things US pandemic</u> <u>communicators still get wrong | CIDRAP (umn.edu)</u>

 Responding to Community Outrage: Strategies for Effective Risk Communication, by Peter M. Sandman, 1993, AIHA Publications, Accessed 4/9/2023 at http://www.petersandman.com/media/RespondingtoCommunityOutrage.pdf

• Code of Ethics, Board of Global EHS Credentialing, March 2021, Accessed4/9/2023 at https://www.gobgc.org/wp-content/uploads/2021/09/BGC-Code-of-Ethics-2021-09-09.pdf

 Public Health Code of Ethics, American Public Health Association, 2019, Accessed 4/9/2023 at <u>https://www.apha.org/-</u> /media/Files/PDF/membergroups/Ethics/Code_of_Ethics.ashx